## PCSK9 function and physiology<sup>1</sup>

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During the past few years, the proprotein convertase subtilisin kexin 9 (PCSK9) field has been red hot, fueled by the realization that PCSK9 is a key player in plasma cholesterol metabolism and by a hope, shared by scientists in academia and industry alike, that PCSK9 is a target for treating hypercholesterolemia. PCSK9 regulates the levels of the LDL receptor (1-3), which is a plasma membrane glycoprotein that removes cholesterol-rich LDL particles from the plasma (4, 5). Gain-of-function mutations in PCSK9 reduce LDL receptor levels in the liver, resulting in high levels of LDL cholesterol in the plasma and increased susceptibility to coronary heart disease (6). Loss-of-function mutations lead to higher levels of the LDL receptor, lower LDL cholesterol levels, and protection from coronary heart disease (7-11). The loss of PCSK9 appears to have no adverse consequences (11). Thus, interest in PCSK9 as a cholesterol-lowering target has been high, and an army of investigators is now working to elucidate PCSK9 molcular interactions and physiology. In this issue of the Journal of Lipid Research (*ILR*), two leading research groups describe their recent efforts. Dr. Shilpa Pandit et al. (12) from Merck Research Laboratories describe the functional basis for the hypercholesterolemia associated with gain-of-function missense mutations in PCSK9. Grefhorst et al. (13) at UT-Southwestern describe the kinetics and metabolism of recombinant PCSK9 and the impact of PCSK9 on LDL receptors in the liver and adrenal gland.

PCSK9 was initially identified as a new member of the proprotein convertase family and suggested to have a role in liver regeneration and the differentiation of cortical neurons (14). Its relevance to cholesterol metabolism was suggested by a pair of unrelated observations. The first was the discovery, by Abifadel et al. (6), that heterozygosity for specific PCSK9 missense mutations cause autosomal dominant hypercholesterolemia. For most enzymes, the loss of a single allele is inconsequential, so the authors immediately raised the possibility that PCSK9 missense mutations might increase plasma cholesterol levels via a gain-of-function mechanism (6). The second observation was that PCSK9 mRNA levels are responsive to cellular cholesterol levels through the transcription factor SREBP-2 (15, 16).

The human genetic and gene-expression discoveries sparked interest in defining PCSK9 function. Mouse experiments quickly established a link between PCSK9 and levels of LDL receptors in the liver. Adenoviral overexpression of PCSK9 in mice resulted in higher LDL cholesterol levels and lower levels of LDL receptors, without changing LDL receptor mRNA levels (1, 3, 17). Similarly, PCSK9 transgenic mice exhibited increased LDL cholesterol levels, and LDL receptors in the liver were virtually eliminated (18). Parabiosis studies established that the PCSK9 from a transgenic mouse reduced LDL receptor levels in the liver of a paired nontransgenic mouse (18). Thus, circulating PCSK9 lowers LDL receptor levels. Conversely, knocking out PCSK9 increased hepatic LDL receptors and reduced plasma cholesterol levels (19).

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The loss-of-function findings in mice are complemented by human data. Nearly 1 in 50 African-Americans has one of two nonsense mutations in PCSK9, which lowers LDL cholesterol levels by  $\sim 30\%$  (9, 10, 20). A common missense mutation in Caucasians lowers LDL levels by  $\sim$ 15% (7–9, 20, 21). The lower cholesterol levels are accompanied by a strikingly reduced frequency of coronary heart disease (9, 22). Homozygous loss of PCSK9 results in LDL cholesterol levels of  $\sim$ 15 mg/dl but no other detectable abnormalities (11, 23).

So, what is PCSK9 and why does it lower hepatic LDL receptor levels? PCSK9 is a 72-kd protease, expressed highly in liver, with three recognizable domains, an N-terminal prodomain, a catalytic domain, and a carboxyl-terminal domain of unknown function. Crystallographic studies uncovered similarities between the carboxyl-terminal domain and resistin (24), an inflammatory cytokine associated with insulin resistance; but the significance of this homology is unknown. Following its synthesis, PCSK9 undergoes an autocatalytic cleavage reaction that clips off the prodomain, but the prodomain remains attached to the catalytic and

Supported by NIH grants U01 HL66621 and R01 HL087228 (to SGY). Conflict of interest disclosures: Dr. Andrew Peterson is an employee of Genentech, a pharmaceutical and biotechnology company. Dr. Stephen G. Young is an employee of UCLA but periodically consults for Genentech and Isis Pharmaceuticals. DOI 10.1194/jlr.E800008-JLR200

<sup>&</sup>lt;sup>1</sup>See referenced articles., J. Lipid Res. 2008, 49: 1303-1311 and 1333-1343

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resistin-like domains (3, 14). The autocatalytic processing step is required for the secretion of PCSK9 (14), likely because the prodomain serves as a chaperone and facilitates folding. The continued attachment of the prodomain partially blocks the substrate binding pocket of PCSK9 (24–26).

The observation that PCSK9 reduces hepatic LDL receptors naturally suggested the hypothesis that the LDL receptor is cleaved and degraded by PCSK9. This hypothesis was elegantly disproved by the laboratory of Dr. Jay Horton (27). They developed a clever system for expressing a catalytically inactive form of PCSK9 and then showed that the catalytically dead protein retained the ability to lower LDL receptor levels (27).

How PCSK9 lowers LDL receptor levels was utterly mysterious for several years, but recent studies are beginning to uncloak the mechanisms (see Fig. 1A). PCSK9 binds to the LDL receptor on the surface of cells, but the removal of LDL receptors requires internalization of the PCSK9-LDL receptor complex (18). After reaching the acidic environment of the endosome, the affinity of PCSK9

for the LDL receptor increases by nearly 150-fold (28, 29). PCSK9 binds to the epidermal growth factor (EGF)-like repeat A of the LDL receptor (28), a region known to be crucial for recycling of the LDL receptor from endosomes to the cell surface (30-32). A crystal structure of PCSK9 bound to the EGF-like repeat A revealed that the binding site on PCSK9 for the LDL receptor is distant from its catalytic site (26). Interestingly, the binding of PCSK9 to the LDL receptor results in the redistribution of LDL receptors from the cell surface to lysosomes (17, 18). Thus, PCSK9 appears to change the itinerary of the LDL receptor, diverting internalized LDL receptors to degradation in lysosomes and preventing them from recycling to the cell surface (17, 18, 27-29). The molecular mechanisms remain unclear, but it seems possible that the binding of PCSK9 produces conformational changes in the LDL receptor that render it incapable of being sorted to recycling endosomes. Alternatively, the PCSK9-LDL receptor complex could be actively recognized and directed toward lysosomes (Fig. 1A).

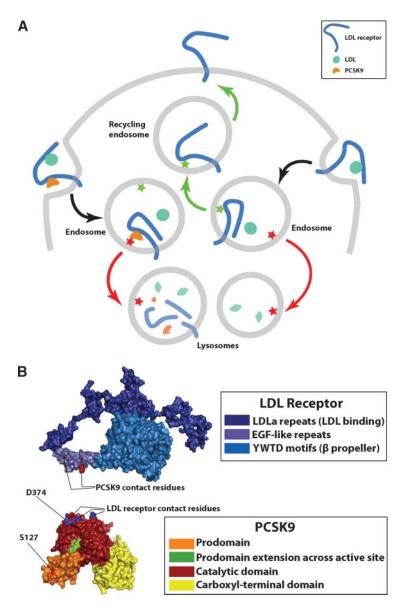


Fig. 1. A: Model of PCSK9-mediated sorting of LDL receptors to lysosomes. The EGFa domain of the LDL receptor is required for proper sorting of the LDL receptor back to the cell surface (30-32). The EGFa domain may contain a sorting signal that interacts with an endosomal protein (green star), directing the LDL receptor back to the cell surface on recycling endosomes (green arrows). Binding of PCSK9 might interfere with that signal, preventing the LDL receptor from returning to the cell surface. Alternatively, PCSK9 could contain a distinct sorting signal (red star) that results in the sorting of the PCSK9-LDL receptor complex (red arrows) to lysosomes. The gain-of-function mutation involving S127 of PCSK9 may enhance the sorting of the PCSK9-LDL receptor complex to lysosomes (12). B: The structure of the LDL receptor and PCSK9 at endosomal pH. The LDL receptor is folded back upon itself at low pH (31); however, the face of the EGFa domain that binds PCSK9 (31) is exposed. The LDL receptorbinding site on PCSK9 is at the apex of a roughly triangular structure formed by the tripartite domain structure of PCSK9 (26). The D374 residue that is altered in gain-offunction PCSK mutants is located within the apical LDL receptor-binding site, whereas the S127 residue is quite distant from the binding interface. S127 mutations do not affect binding of PCSK9 to the LDL receptor (12). Gain-offunction mutations affecting residue 127 may reduce LDL receptors by enhancing the sorting of LDL receptors to lysosomes, rather than by affecting the strength of PCSK9-LDL receptor interactions (12).

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The advances in the structural and cellular biology of PCSK9 have focused attention on the mechanisms by which PCSK9 gain-of-function mutations cause autosomal dominant hypercholesterolemia. In the current issue of the *JLR*, Dr. Shilpa Pandit et al. (12) examine mechanisms by which missense mutations at residues 374 and 127 (e.g., D374Y and S127R) reduce LDL receptors. In the case of residue 374, the results seem straightforward. Any of seven different amino acids (aside from aspartate) at residue 374 increased the affinity of PCSK9 for the LDL receptor, and this difference correlated with PCSK9's potency in reducing LDL receptors. The PCSK9 crystal structure (26) has placed these biochemical findings in context (Fig. 1B). D374 is buried in the interface between PCSK9 and the LDL receptor, and changing that residue alters LDL receptor binding by altering hydrogen bonding and other interactions or simply by reducing the energetic cost of burying a charged residue. It is intriguing that the wild-type amino acid at position 374, aspartate, exhibited the lowest affinity for the LDL receptor (12), suggesting that evolution has selected against higher affinity interactions.

The S127R mutation is more enigmatic. Located in the prodomain, residue 127 is more than 40 Å from the LDL receptor-binding site (Fig. 1B) (24-26), and biochemical studies revealed that this mutation has little or no impact on PCSK9's affinity for the LDL receptor (12). Nevertheless, the S127R mutant (like the D374Y mutant) potently reduced LDL receptor numbers (12, 21). The S127R mutation did inhibit the efficiency of the autocatalytic processing step, but it is not at clear that this finding explains its ability to reduce LDL receptors. Rather, it seems possible that the S127R mutant could modulate interactions with the cellular machinery that sorts LDL receptors to lysosomes. In any case, it is clear that the mechanisms underlying the S127R and D374Y mutations are distinct. Interestingly, the impact of the two amino acid substitutions on LDL receptors is additive; a mutant PCSK9 protein carrying both substitutions is 70-fold more potent than wild-type PCSK9 in reducing LDL receptors (12).

Understanding PCSK9 physiology in mammals has been boosted by a trio of practical advances; sensitive monoclonal-based assays for measuring plasma PCSK9 levels (18, 33), expression systems to generate large amounts of wild-type and mutant PCSK9 proteins, and a clever approach for expressing catalytically inactive PCSK9 (27). These tools have made it possible to consider key questions regarding the function of PCSK9 in vivo, including: Are the plasma levels of PCSK9 in humans sufficient to account for the regulation of LDL receptors? What is the half-life of PCSK9 in the plasma, and is its turnover affected by LDL receptors? Is a gain-of-function PCSK9 mutant more potent in lowering LDL receptors in vivo, and is the turnover of a gain-of-function mutant more rapid? Can a catalytically dead PCSK9 reduce LDL receptors in vivo?

The paper by Dr. Horton's group (13) in this issue of the *JLR* addresses all of these questions. By infusing recombinant human PCSK9 into mice, they established that the levels of PCSK9 found in human plasma are indeed

sufficient to reduce hepatic LDL receptors. A gain-of-function mutant, PCSK9 (D374Y), was even more potent. Also, a catalytically dead PCSK9 functioned perfectly well in reducing LDL receptors, dashing any remaining hopes that an inhibitor of PCSK9's catalytic activity would prevent PCSK9 in the plasma from lowering LDL receptors. The clearance of PCSK9 from the plasma was retarded in LDL receptor-knockout mice, showing that the LDL receptor is a key factor in controlling PCSK9 levels in the plasma. The clearance of the PCSK9 (D374Y) mutant was more rapid, consistent with its higher affinity for the LDL receptor.

Dr. Horton's group found that infusions of PCSK9 into mice, even at high levels, had little effect on LDL receptors in the adrenal gland, an organ with high levels of LDL receptors (13). This intriguing observation suggested that the cellular machinery for PCSK9-dependent removal of LDL receptors differs in the liver and extrahepatic tissues.

Dr. Horton's group also provided an intriguing speculation regarding the regulation of PCSK9 expression. Cholesterol depletion in the liver, via SREBP-2, simultaneously upregulates the expression of the LDL receptor and upregulates PCSK9, a molecule that in turn lowers LDL receptors. What is the "physiologic rationale" for this peculiar regulation? SREBP-2 activation is accompanied by increased lipid synthesis and VLDL secretion. Dr. Horton's group proposed that short-term downregulation of LDL receptors in the liver, via PCSK9, might channel newly secreted lipoproteins away from the liver, allowing time for hepatic lipoproteins to unload their cargo in peripheral tissues.

Much of the excitement surrounding PCSK9 stems from its attractiveness as a cholesterol-lowering target. There is little doubt that inhibitors of PCSK9 function would lower plasma cholesterol levels, and there is no reason to suspect that the loss of PCSK9 would be harmful. Also, inhibition of PCSK9 should potentiate the effects of statins. Statins actually upregulate PCSK9 (19, 34, 35), which puts the brakes on their principal mode of action, which is to increase LDL receptors in the liver.

Several approaches for inhibiting PCSK9 function are theoretically feasible. Because autocatalytic cleavage is required for the maturation of PCSK9, a small-molecule inhibitor of autocatalysis might be useful(3), provided that it was specific for PCSK9 processing and did not lead to a toxic accumulation of misfolded PCSK9. Small molecules that block the PCSK9-LDL receptor interactions would likely be efficacious, although designing inhibitors of protein-protein interactions is a tall order. Antisense approaches pioneered by Isis Pharmaceuticals (Carlsbad, CA) are well suited for liver targets (36, 37), and studies in mice suggest that this approach is efficacious for PCSK9 (38). Finally, there is considerable interest in developing antibody therapeutics to inhibit PCSK9-LDL receptor interactions (18). Over the next few years, the pages of the *JLR* will likely contain many preclinical and clinical studies on inhibitors of PCSK9 function.

With the search for drugs underway, academic and industry scientists will have their hands full investigating

enigmas in PCSK9 biology. Why is PCSK9 more effective in lowering LDL receptors in the liver than in the adrenal gland? What are the molecular mechanisms for the redistribution of LDL receptors to lysosomes? How does the S127R mutation reduce LDL receptor numbers? With luck, answering these questions could lead to the discovery of new molecules controlling LDL receptors (and new targets). Do garden-variety hyperlipidemias, the metabolic syndrome, diabetes mellitus, endocrine disorders, and commonly used medications perturb PCSK9 metabolism? If PCSK9 is truly dispensable, why has it been conserved in evolution? Why are PCSK9 nonsense mutations common in African-Americans? Presumably, evolution selected for these mutations, but no one knows why. Many laboratories will be taking their best shot at these issues and their efforts are likely to fill the pages of the *ILR* over the next few years. Stay tuned.

We thank Stuart Bunting, Daniel Kirchhofer, and James Ernst for helpful discussions.

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